



Setting your Journey from Data Analysis to Continuous Auditing

Joint Meeting with IIA

Date: October 3rd, 2013

Time: 8:00 AM – 9:00 AM Registration | 12:00 - 1:00 PM Lunch | 9:00 AM – 4:00 PM Presentation

Location: The Ritz Charles | 9000 West 137th Street | Overland Park, KS 66221

CPE's: 6 Credits

**Please note actual CPE hours granted are dependent upon duration of speaker's presentation and may differ from advertised number of CPE hours.*

Price: \$95 members | \$110 guests

Menu: Best of the Southwest Buffet - Southwest bean salad | Beef and chicken fajitas with sautéed onions & peppers, seasoned ground beef, shredded cheese, lettuce, olives, tomato, onions, salsa, guacamole, sour cream, flour tortillas, taco shells | Tortilla chips | Refried beans | Spanish rice | Tres leches cake and cinnamon sugar sopapillas

Presenter: Joe Oringel - Managing Director, Visual Risk IQ, LLC

Speaker Summary:

Joe Oringel is a CPA and CIA with twenty-five years of experience in internal auditing, fraud detection and forensics. He has over ten years of Big 4 external audit, internal audit, and risk advisory experience, prior to helping found Visual Risk IQ. His corporate experience includes information security, internal auditing, and risk and control of large ERP systems for companies in highly regulated industries, including Pharmaceuticals, Utilities, and Financial Services.

Joe and his business partner Kim Jones left PwC in 2006 to start Visual Risk IQ, where they help large, complex organizations take advantage of new and emerging technologies for continuous auditing, continuous monitoring, visual reporting and risk-focused data analysis. Joe studied at LSU's acclaimed Center for Internal Auditing, where he earned a BS in Accounting. He also has an MBA from the Wharton School at the University of Pennsylvania. Joe has taught on the accounting faculty of UNC-Charlotte in addition to various corporate training experience with both Big 4 and industry firms.

Visual Risk IQ is an alliance partner and implementation specialist for ACL, IDEA, and Tableau, and they deliver tailored solutions for clients both directly and in concert with other firms. Joe and his partner Kim are frequent speakers and authors about continuous auditing and data analysis, and have presented similar topics at MISTI's SuperStrategies, the IIA's Governance Risk and Compliance Conference, and numerous Chapter and District Conferences around the country.

Presentation Overview:

This session is designed to help beginner, intermediate, and also advanced users of data analysis and continuous auditing tools and processes.

Through a combination of lecture and individual and group exercises, attendees will accomplish the following learning objectives.

- Review the business case for audit data analytics and identify the skills that are needed for successful execution.
- Explore IIA Guidance for Data Analytics, Anti-Fraud Programs, and for Continuous Auditing.
- Understand the combination of business, auditing, and technology knowledge domains that are needed to work together to plan and execute a successful data-driven audit project.
- Review common barriers to success with data analytics. Identify available resources and discuss alternatives for overcoming these barriers.
- Develop an audit program for common business processes that considers a mix of metric queries, outlier queries, and traditional exception queries
- Understand QuickStart methodology that can be applied during audit planning to maximize value of audit data analytics. QuickStart is a tool-neutral approach that works regardless of whether software used will be IDEA, ACL, Tableau, or SQL, etc.
- Define Big Data and discuss Big Data risks
- Identify organization-specific objectives related to Continuous Auditing, and develop a roadmap to help accomplish those objectives through data analysis projects.

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