



## Service Organization Control Reports What did we learn from year one?

- Date:** April 12, 2012
- Time:** 11:30 AM - 12:00 Registration | 12:00 - 1:00 Lunch | 1:00 - 3:00 Program
- Location:** The American Restaurant | 2511 Grand Street | Kansas City MO | 64108
- Parking:** Valet parking provided at no charge. Garage parking validated up to 3 hours.
- CPE's:** 2 Credits
- Please note actual CPE hours granted are dependent upon duration of speaker's presentation and may differ from advertised number of CPE hours.
- Price:** \$35 members | \$50 guests | \$5 students
- Menu:** First Course: Boston Bibb with pistachio, feta and cherry  
Entree: Oven Roasted Chicken with coco beans, turnips, arugula and pan jus  
Dessert: Lemongrass Crème Brûlée
- Presenter:** Rodney Walsh, Director - IT Risk Services, BKD

### Presentation Overview:

Effective June 15, 2011 the SAS 70 report was replaced by the SSAE 16 (SOC 1). In addition the AICPA introduced new service organization control reporting options. The new SOC 2 and 3 reports are designed to better address the report on controls relevant to security, availability, processing integrity, confidentiality, or privacy.

This session will include refresher information on the nature of the 3 SOC reports, 'real world' examples/applications of the new reports, and lessons learned this first year from both a client and audit firm perspective. In addition the session will include suggestions on how to read / use the reports as well as opportunity for attendees to share their impressions and experiences.

### Speaker Overview

Rod provides leadership for BKD's SOC efforts. He has more than 30 years of experience and provides services in security and risk management, SOC examinations and readiness engagements, IT control reviews, Health Insurance Portability and Accountability Act (HIPAA) program assessments and system selection and acquisition consulting. He speaks regionally and nationally on IT management, security, IT governance and HIPAA compliance.

His experience includes audit and attest engagements, operations management, IT management and strategic IT and operations consulting. He provides services to organizations in multiple industries, including financial services, health care, software, technology, government and not-for-profits. Rod is knowledgeable on the subject of federal privacy and security regulations related to information systems and is the firm's HIPAA compliance services coordinator.

He is a member of the Information Systems Audit and Control Association, now ISACA, and holds the Certified in the Governance of Enterprise IT® (CGEIT®) and Certified in Risk and Information Systems Control (CRISC) credentials.

Rod is a graduate of Avila College, Kansas City, Missouri, and received an M.A. degree in administration from Central Michigan University, Mount Pleasant.